

$\text{HO}\pi$ in Coq



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Higher-Order π -calculus

- ▶ Model of concurrent and communicating systems
 - ▶ First-order: inert data (channel names, ...)
 - ▶ Higher-order: executable processes
- ▶ Behavioral equivalence proofs (bisimulation): complex, prone to error
- ▶ Very few formalization of higher-order process calculi
- ▶ Difficulty: binders

Higher-Order π -calculus

Communication channel names a, b, c, \dots

Process variables X, Y, Z, \dots

$P, Q ::=$

Higher-Order π -calculus

Communication channel names a, b, c, \dots

Process variables X, Y, Z, \dots

$P, Q ::= \emptyset$

nil process

Higher-Order π -calculus

Communication channel names a, b, c, \dots

Process variables X, Y, Z, \dots

$$\begin{array}{ll} P, Q ::= \emptyset & \text{nil process} \\ | P \parallel Q & \text{parallel composition} \end{array}$$

Higher-Order π -calculus

Communication channel names a, b, c, \dots

Process variables X, Y, Z, \dots

$P, Q ::= \emptyset$	nil process
$ P \parallel Q$	parallel composition
$ X$	variable
$ a(X).P$	process input

$a(X).X$

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$P, Q ::= \emptyset$	nil process
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$$a(X).\left(X \parallel b(Y).Y \right)$$

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$ P \parallel Q$	parallel composition
$ X$	variable
$ a(X).P$	process input
$ \bar{a}\langle P \rangle.Q$	process output

$$a(X).\left(X \parallel b(Y).Y \parallel \bar{b}(\emptyset). \emptyset \right)$$

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$$a(X). (X \parallel b(Y). Y \parallel \bar{b}(\emptyset). \emptyset) \parallel \bar{a} \langle \bar{b} \langle c(Z). Z \rangle. \emptyset \rangle \emptyset$$

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Communication: $a(X).P \parallel \bar{a}\langle R \rangle.Q \rightarrow P\{R/X\} \parallel Q$

$$a(X).\left(X \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \right) \parallel \bar{a}\langle \bar{b}\langle c(Z).Z \rangle.\emptyset \rangle \emptyset$$

Higher-Order π -calculus

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Communication: $a(X).P \parallel \bar{a}\langle R \rangle.Q \rightarrow P\{R/X\} \parallel Q$

$$\begin{aligned} & a(X).\left(\cancel{X} \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset\right) \parallel \bar{a}\langle \cancel{\bar{b}\langle c(Z).Z \rangle}.\emptyset \rangle \emptyset \\ \rightarrow & \cancel{\bar{b}\langle c(Z).Z \rangle}.\emptyset \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \parallel \emptyset \end{aligned}$$

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Communication: $a(X).P \parallel \bar{a}\langle R \rangle.Q \rightarrow P\{R/X\} \parallel Q$

$$\begin{aligned} & a(X).\left(X \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \right) \parallel \bar{a}\langle \bar{b}\langle c(Z).Z \rangle.\emptyset \rangle \emptyset \\ \rightarrow & \bar{b}\langle c(Z).Z \rangle.\emptyset \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \parallel \emptyset \end{aligned}$$

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$$\begin{aligned} & a(X).\left(X \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \right) \parallel \bar{a}\langle \bar{b}\langle c(Z).Z \rangle.\emptyset \rangle \emptyset \\ \rightarrow & \bar{b}\langle c(Z).Z \rangle.\emptyset \parallel b(Y).Y \parallel \bar{b}\langle \emptyset \rangle.\emptyset \parallel \emptyset \\ \rightarrow & \emptyset \parallel c(Z).Z \parallel \bar{b}\langle \emptyset \rangle.\emptyset \parallel \emptyset \end{aligned}$$

Higher-Order π -calculus

Communication channel names a, b, c, \dots

Process variables X, Y, Z, \dots

$P, Q ::= \emptyset$	nil process
$ P \parallel Q$	parallel composition
$ X$	variable
$ a(X).P$	process input
$ \bar{a}\langle P \rangle.Q$	process output
$ \nu a.P$	name restriction

Communication: $a(X).P \parallel \bar{a}\langle R \rangle.Q \rightarrow P\{R/X\} \parallel Q$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q)$$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \parallel a(X).X$$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q)$$

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$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \end{aligned}$$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \end{aligned}$$

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Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \rightarrow & \nu a \textcolor{red}{b}. (P \parallel Q) \parallel \bar{b}\langle\emptyset\rangle.\emptyset \parallel R \end{aligned}$$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \simeq & \nu \textcolor{red}{b}a. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \end{aligned}$$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \simeq & \nu ba. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \simeq & \nu b. (\nu a. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R)) \end{aligned}$$

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Input: $P \xrightarrow{a} (X)R$ Output: $Q \xrightarrow{\bar{a}} \nu \textcolor{red}{b}. \langle S \rangle T$

Name restriction

Syntax: $P, Q ::= \emptyset \mid P \parallel Q \mid X \mid a(X).P \mid \bar{a}\langle P \rangle.Q \mid \nu a.P$

$$\begin{aligned} & \nu ab. (\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.P \parallel a(X).\bar{d}\langle X \rangle.Q) \\ \rightarrow & \nu ab. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \simeq & \nu ba. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R) \\ \simeq & \nu b. (\nu a. (P \parallel \bar{d}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.Q) \parallel d(Y).(Y \parallel R)) \\ \rightarrow & \nu \textcolor{red}{b}. (\nu a. (P \parallel Q) \parallel \bar{b}\langle\emptyset\rangle.\emptyset \parallel R) \end{aligned}$$

$$\text{Input: } P \xrightarrow{a} (X)R \quad \text{Output: } Q \xrightarrow{\bar{a}} \nu \tilde{b}. \langle S \rangle T$$

$$\frac{P \xrightarrow{a} (X)R \quad Q \xrightarrow{\bar{a}} \nu \tilde{b}. \langle S \rangle T \quad \tilde{b} \cap \text{fn}(R) = \emptyset}{P \parallel Q \rightarrow \nu \tilde{b}. (R\{S/X\} \parallel T)}$$

What we formalize

- ▶ Bisimilarity: if $P \sim Q$ then $P' \sim Q'$
$$\begin{array}{ccc} P & \sim & Q \\ \downarrow \alpha & & \downarrow \alpha \\ P' & \sim & Q' \end{array}$$

$$\begin{array}{ccc} P & \sim & Q \\ \downarrow \alpha & & \downarrow \alpha \\ P' & \sim & Q' \end{array}$$
- ▶ Congruence: if $P \sim Q$ then $P \parallel R \sim Q \parallel R$, $\nu a.P \sim \nu a.Q$,
...
- ▶ Howe's method [CONCUR 15]

BinderTM

It's a Match!

λx

x

Binders

Process input $a(X).P$: binds process variables X

- ▶ Static scope
- ▶ Process variables are substituted (by processes)
- ▶ Forbids computation

Name restriction $\nu a.P$, $\nu \tilde{a}. \langle P \rangle Q$: binds names a

- ▶ Dynamic scope
- ▶ No substitution
- ▶ Allows computation

Binders

Process input $a(X).P$: binds process variables X

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Similar to λ -abstraction: any representation

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Locally nameless (CPP 18) and Nominal



Locally Nameless

Locally nameless

Bound names are de Bruijn indices

$$\begin{aligned} & \nu ba.(\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.\emptyset \parallel a(X).\bar{d}\langle X\rangle.\emptyset) \parallel d(Y).Y \\ \rightsquigarrow & \nu.\nu.(\bar{\mathbb{0}}\langle\bar{\mathbb{1}}\langle\emptyset\rangle.\emptyset\rangle.\emptyset \parallel \mathbb{0}(X).\bar{d}\langle X\rangle.\emptyset) \parallel d(Y).Y \end{aligned}$$

⌚ Invalid terms $\nu.\bar{\mathbb{1}}\langle\emptyset\rangle.\emptyset \Rightarrow$ well-formedness predicate

Locally nameless

Bound names are de Bruijn indices

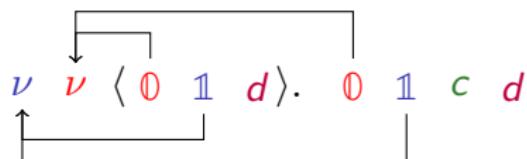
$$\begin{aligned} & \nu ba.(\bar{a}\langle\bar{b}\langle\emptyset\rangle.\emptyset\rangle.\emptyset \parallel a(X).\bar{d}\langle X\rangle.\emptyset) \parallel d(Y).Y \\ \rightsquigarrow & \nu.\nu.(\bar{\mathbb{0}}\langle\bar{\mathbb{1}}\langle\emptyset\rangle.\emptyset\rangle.\emptyset \parallel \mathbb{0}(X).\bar{d}\langle X\rangle.\emptyset) \parallel d(Y).Y \end{aligned}$$

- ⌚ Invalid terms $\nu.\bar{\mathbb{1}}\langle\emptyset\rangle.\emptyset \Rightarrow$ well-formedness predicate
- ⌚ Message output $R \xrightarrow{\bar{a}} \nu\tilde{b}. \langle P \rangle Q \rightsquigarrow \nu^n \langle P \rangle Q$
- ⌚ Scope extrusion

Scope extrusion in locally nameless

Bind c then d in

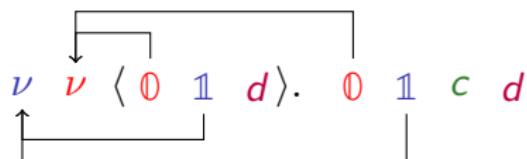
$$\nu b a. \langle P_{abd} \rangle Q_{abcd}$$



Scope extrusion in locally nameless

Bind c then d in

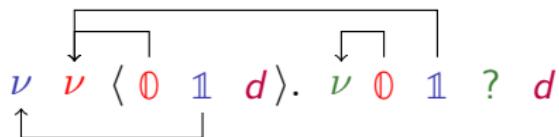
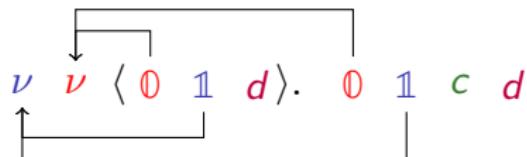
$$\nu b a. \langle P_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{red}{d}} \rangle \nu \textcolor{green}{C}. Q_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{blue}{c} \textcolor{red}{d}}$$



Scope extrusion in locally nameless

Bind c then d in

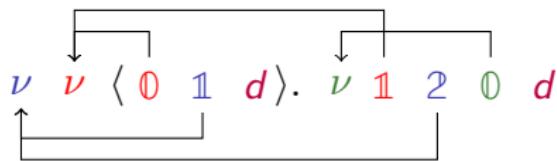
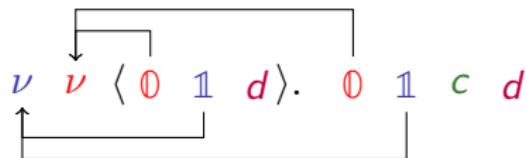
$$\nu b a. \langle P_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{red}{d}} \rangle \nu \textcolor{green}{C}. Q_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{blue}{c} \textcolor{red}{d}}$$



Scope extrusion in locally nameless

Bind c then d in

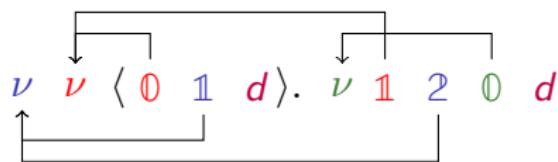
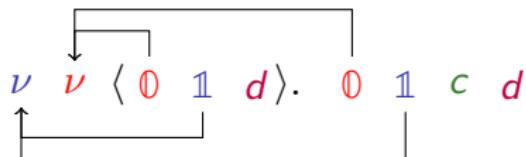
$$\nu b a. \langle P_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{red}{d}} \rangle \nu \textcolor{green}{C}. Q_{\textcolor{red}{a} \textcolor{blue}{b} \textcolor{blue}{c} \textcolor{red}{d}}$$



Scope extrusion in locally nameless

Bind c then d in

$$\nu \textcolor{red}{d} \textcolor{blue}{ba}. \langle P_{\textcolor{red}{a}\textcolor{blue}{b}\textcolor{red}{d}} \rangle \nu \textcolor{green}{C}. Q_{\textcolor{red}{a}\textcolor{blue}{b}\textcolor{green}{c}\textcolor{red}{d}}$$



$$\nu \quad \nu \quad \nu \quad \langle 0 \ 1 \ 2 \rangle. \ \nu \ 1 \ 2 \ 0 \ 3$$

Computing under binders

$$\frac{P \rightarrow P'}{\nu a.P \rightarrow \nu a.P'}$$

$\{\mathbb{K} \rightarrow a\}P$ replaces \mathbb{K} with a in P

$$\frac{\forall a \notin \text{fn}(P) \cup \text{fn}(P') \quad \{\emptyset \rightarrow a\}P \rightarrow \{\emptyset \rightarrow a\}P'}{\nu.P \rightarrow \nu.P'}$$

Lemma (Renaming)

⌚ If \mathcal{P} holds for $\{\mathbb{K} \rightarrow a\}P$, it holds for $\{\mathbb{K} \rightarrow b\}P$ if ...



Nominal

Nominal

As on paper: names and α -equivalence

$$\nu a.P =_{\alpha} \nu b.(P\{b/a\}) \text{ if } b \notin \text{fn}(P)$$

Swapping instead of renaming

$$[a \leftrightarrow b](\nu c.Q) \stackrel{\Delta}{=} \nu([a \leftrightarrow b]c).[a \leftrightarrow b]Q$$

Nominal

As on paper: names and α -equivalence

$$\nu a.P =_{\alpha} \nu b.(P\{b/a\}) \text{ if } b \notin \text{fn}(P)$$

Swapping instead of renaming

$$[a \leftrightarrow b](\nu c.Q) \stackrel{\Delta}{=} \nu([a \leftrightarrow b]c).[a \leftrightarrow b]Q$$

Lemma

- ▶ $[b \leftrightarrow c](P\{Q/X\}) =_{\alpha} ([b \leftrightarrow c]P)\{([b \leftrightarrow c]Q)/X\};$
- ▶ *if $P =_{\alpha} P'$ and $Q =_{\alpha} Q'$ then $P\{Q/X\} =_{\alpha} P'\{Q'/X\}$*

Nominal

As on paper: names and α -equivalence

$$\nu a.P =_{\alpha} \nu b.(P\{b/a\}) \text{ if } b \notin \text{fn}(P)$$

Swapping instead of renaming

$$[a \leftrightarrow b](\nu c.Q) \stackrel{\Delta}{=} \nu([a \leftrightarrow b]c).[a \leftrightarrow b]Q$$

Lemma

- ▶ $[b \leftrightarrow c](P\{Q/X\}) =_{\alpha} ([b \leftrightarrow c]P)\{([b \leftrightarrow c]Q)/X\};$
- ▶ *if $P =_{\alpha} P'$ and $Q =_{\alpha} Q'$ then $P\{Q/X\} =_{\alpha} P'\{Q'/X\}$*

☺ Working modulo α -equivalence

☺ Swapping lemmas: much simpler than renaming lemmas

Representing outputs

$R \xrightarrow{\bar{a}} \nu \tilde{b}. \langle P \rangle Q$: list b_1, \dots, b_n , P , and Q

- ⌚ New binding structure
- ⌚ Redo what we did for processes
- ⌚ Manipulation of lists

Evaluation



Nominal



Locally nameless

Evaluation



	Nominal		Locally nameless
intrinsic	α -equivalence name	<	wf predicate de Bruijn indices

Evaluation



	Nominal		Locally nameless
intrinsic	α -equivalence name	< >	wf predicate de Bruijn indices
outputs	list of names specific α -equivalence	< \ll	1 number \emptyset

Evaluation



	Nominal		Locally nameless
intrinsic	α -equivalence name	< >	wf predicate de Bruijn indices
outputs	list of names specific α -equivalence	< ≪	1 number \emptyset
renaming	swapping	≫≫	renaming

Evaluation



	Nominal		Locally nameless
intrinsic	α -equivalence name	< >	wf predicate de Bruijn indices
outputs	list of names specific α -equivalence	< ≪	1 number \emptyset
renaming	swapping	≫≫	renaming
total	4k lines	≫	5k lines

New challenger incoming



pure deBruijn indices

Evaluation (bis)



Nominal



de Bruijn



Locally nameless

Evaluation (bis)

	A muscular man with a shaved head, wearing yellow trunks and red arm bands, performing a dynamic pose.	A muscular man with a shaved head, wearing white trunks with red stripes and red arm bands, performing a dynamic pose.	A muscular man with blonde hair tied back, wearing red pants and a black belt, performing a dynamic pose.
	Nominal α -equivalence name	de Bruijn \emptyset dB indices	Locally nameless wf predicate dB indices

Evaluation (bis)



	Nominal	de Bruijn	Locally nameless
intrinsic	α -equivalence name	\emptyset dB indices	wf predicate dB indices
outputs	list of names specific α -equivalence	1 number \emptyset	1 number \emptyset

Evaluation (bis)



	Nominal	de Bruijn	Locally nameless
intrinsic	α -equivalence name	\emptyset dB indices	wf predicate dB indices
outputs	list of names specific α -equivalence	1 number	1 number
renaming	$[a \leftrightarrow b]P$	$map\ f\ P$ $f : \mathbb{N} \rightarrow \mathbb{N}$	$\{\emptyset \rightarrow a\}P$

Evaluation (bis)



	Nominal	de Bruijn	Locally nameless
intrinsic	α -equivalence name	\emptyset dB indices	wf predicate dB indices
outputs	list of names specific α -equivalence	1 number	1 number
renaming	$[a \leftrightarrow b]P$	$map\ f\ P$ $f : \mathbb{N} \rightarrow \mathbb{N}$	$\{\emptyset \rightarrow a\}P$
total	4k lines	3k lines	5k lines

Conclusion and Future Work



- ▶ de Bruijn wins! (in a cripples fight)
- ▶ More automation, tactics
- ▶ Add support for name restriction to existing libraries (Autosubst?)
- ▶ More expressive calculi
- ▶ Tools for bisimulation (Howe's method)