

Certified Functional (Co)programming with



Jasmin
Blanchette



Andreas
Lochbihler



Andrei
Popescu



Dmitriy
Traytel



Partly based on material by Tobias Nipkow

Preliminaries



Programming



Coprogramming



Advanced Coprogramming



Preliminaries



Applications

Higher-Order
Logic

Proving

Programming



Coprogramming



Advanced Coprogramming



Big proofs about programs

(an incomplete list)

seL4

Microkernel

Klein et al.

CAVA

LTL model checker

Lammich, Nipkow et al.

Markov_Models

pCTL model checker

Hölzl, Nipkow

Flyspeck

Programs in Hales's proof
of the Kepler conjecture

Bauer, Nipkow, Obua

CoCon

Conference management
system

Lammich, Popescu et al.

PDF-Compiler

Probability density functions
compiler

Eberl, Hölzl, Nipkow

JinjaThreads

Java compiler & JMM

Lochbihler

IsaFoR/CeTA

Termination proof certifier

Sternagel, Thiemann et al.

IsaSAT

SAT solver with 2WL

Fleury, Blanchette et al.

HOL = Higher-Order Logic

HOL = Higher-Order Logic

HOL = Functional Programming + Logic

HOL = Higher-Order Logic

HOL = Functional Programming + Logic

HOL has

- (co)datatypes
- (co)recursive functions
- logical operators

HOL = Higher-Order Logic

HOL = Functional Programming + Logic

HOL has

- (co)datatypes
- (co)recursive functions
- logical operators

HOL is a programming language!

Higher-order = functions are values, too

HOL = Higher-Order Logic
HOL = Functional Programming + Logic

HOL has

- (co)datatypes
- (co)recursive functions
- logical operators

HOL is a programming language!

Higher-order = functions are values, too

HOL formulas:

- Equations: *term = term*,
e.g. $1 + 2 = 4$
- Also: $\wedge, \vee, \longrightarrow, \forall, \exists, \dots$

Types

Basic syntax

τ	::=	(τ)	
		bool nat int ...	base types
		'a 'b ...	type variables
		$\tau \Rightarrow \tau$	functions (ASCII: =>)
		$\tau \times \tau$	pairs (ASCII: *)
		τ list	lists
		τ set	sets
		...	user-defined types

Terms

Basic syntax

t	$::=$	(t)	
		a	constant or variable (identifier)
		$t t$	function application
		$\lambda x. t$	function abstraction
		\dots	lots of syntactic sugar

Examples: $f (g x) y$
 $h (\lambda x. f (g x))$

Terms must be well-typed

(the argument of every function call must be of the right type)

Terms must be well-typed

(the argument of every function call must be of the right type)

Notation:

$t :: \tau$ means “ t is a well-typed term of type τ ”.

$$\frac{t :: \tau_1 \Rightarrow \tau_2 \quad u :: \tau_1}{t u :: \tau_2}$$

Type inference

Isabelle automatically computes the type of each variable in a term.

In the presence of *overloaded* functions (functions with multiple types) this is not always possible.

Users can help with **type annotations** inside the term.

Example: `f (x::nat)`

Currying

Thou shalt curry thy functions

- Curried: $f :: \tau_1 \Rightarrow \tau_2 \Rightarrow \tau$
- Tupled: $f' :: \tau_1 \times \tau_2 \Rightarrow \tau$

Currying

Thou shalt curry thy functions

- Curried: $f :: \tau_1 \Rightarrow \tau_2 \Rightarrow \tau$
- Tupled: $f' :: \tau_1 \times \tau_2 \Rightarrow \tau$

Advantage:

Currying allows *partial application*

$f a_1$ where $a_1 :: \tau_1$

Formulas

Metalogic (Pure):

- Type `prop`
- Constants:

$\wedge :: ('a \Rightarrow \text{prop}) \Rightarrow \text{prop}$

$\Longrightarrow :: \text{prop} \Rightarrow \text{prop} \Rightarrow \text{prop}$

$\equiv :: 'a \Rightarrow 'a \Rightarrow \text{prop}$

Formulas

Metalogic (Pure):

- Type `prop`
- Constants:

$\wedge :: ('a \Rightarrow \text{prop}) \Rightarrow \text{prop}$

$\Longrightarrow :: \text{prop} \Rightarrow \text{prop} \Rightarrow \text{prop}$

$\equiv :: 'a \Rightarrow 'a \Rightarrow \text{prop}$

Object logic (HOL):

- Type `bool`
- Constants:

`Trueprop` :: `bool` \Rightarrow `prop` (implicit)

$\forall, \exists :: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$

$\longrightarrow, \wedge, \vee, \dots :: \text{bool} \Rightarrow \text{bool} \Rightarrow \text{bool}$

$= :: 'a \Rightarrow 'a \Rightarrow \text{bool}$

Syntactic sugar

- *Infix*: $+$, $-$, $*$, $\#$, $@$, ...
- *Mixfix*: $\text{if } _ \text{ then } _ \text{ else } _$, $\text{case } _ \text{ of}$, ...
- *Binders*: $\Lambda _ . _$, $\forall _ . _$, $\exists _ . _$, ...

Syntactic sugar

- *Infix*: $+$, $-$, $*$, $\#$, $@$, \dots
- *Mixfix*: `if _ then _ else _`, `case _ of`, \dots
- *Binders*: $\lambda_.$ $_.$, $\forall_.$ $_.$, $\exists_.$ $_.$, \dots

Prefix binds more strongly than infix:

$$f\ x + y \equiv (f\ x) + y \not\equiv f\ (x + y)$$

Enclose `if` and `case` in parentheses:

`(if _ then _ else _)`

Theory = Isabelle Module

Theory = Isabelle Module

Syntax

```
theory MyTh  
imports  $T_1 \dots T_n$   
begin  
(definitions, theorems, proofs, ...)*  
end
```

Theory = Isabelle Module

Syntax

```
theory MyTh
imports  $T_1 \dots T_n$ 
begin
(definitions, theorems, proofs, ...)*
end
```

MyTh: name of theory. Must live in file *MyTh.thy*

T_i : names of *imported* theories. Import transitive.

Typically: `imports Main`

Concrete syntax

In .thy files:

Types, terms and formulas need to be inclosed in double quotes ("")

Concrete syntax

In .thy files:

Types, terms and formulas need to be inclosed in double quotes (")

except for single identifiers.

Concrete syntax

In .thy files:

Types, terms and formulas need to be inclosed in double quotes (")

except for single identifiers.

Double quotes are not always shown on slides.

Isabelle/jEdit

- Based on the **jEdit** editor
- Processes Isabelle text automatically when editing `.thy` files (like modern Java IDEs)
- **Bottom panels:** Output, Query (Find Theorems), ...
- **Side panels:** State, Theories, ...

The proof state

$$1. \bigwedge x_1 \dots x_m. A_1 \implies \dots \implies A_n \implies C$$

x_1, \dots, x_m fixed local variables

A_1, \dots, A_n local assumptions

C actual (sub)goal

Apply scripts

General schema:

```
lemma name: "..."  
  apply (...)  
  ⋮  
  apply (...)  
  apply (...)  
done
```

```
lemma name: "..."  
  apply (...)  
  ⋮  
  apply (...)  
  by (...)
```

Apply scripts

General schema:

```
lemma name: "..."  
  apply (...)  
  ⋮  
  apply (...)  
  apply (...)  
done
```

```
lemma name: "..."  
  apply (...)  
  ⋮  
  apply (...)  
  by (...)
```

If the lemma is suitable as a **simplification rule**:

```
lemma name[simp]: "..."
```

Delayed gratification

The command `oops` gives up the current proof attempt.

The command `sorry` “completes” any proof.

It makes top-down development possible:

Assume lemma first, prove it later.

Isar Proofs

Apply script = assembly language program

Isar Proofs

Apply script = assembly language program

Isar proof = structured program with comments

Isar Proofs

Apply script = assembly language program

Isar proof = structured program with comments

But `apply` still useful for proof exploration.

A typical Isar proof

A proof of $\varphi_0 \implies \varphi_{n+1}$:

proof

assume φ_0

have φ_1

by simp

⋮

have φ_n

by blast

show φ_{n+1}

by ...

qed

Isar core syntax

proof = proof [*method*] *step** qed
| by *method*

method = (simp ...) | (blast ...) | (induction ...) | ...

step = fix *variables* (\wedge)
| assume *prop* (\implies)
| [from *fact*⁺] (have | show) *prop proof*

prop = [*name*:] "*formula*"

fact = *name* | ...

Example: Cantor's theorem

```
lemma "¬ surj (f :: 'a ⇒ 'a set)"
proof
  assume a: "surj f"
  from a have b: "∀A. ∃a. A = f a"
    by (simp add: surj_def)
  from b have c: "∃a. {x. x ∉ f x} = f a"
    by blast
  from c show False
    by blast
qed
```

Abbreviations

this = the previous proposition proved or assumed
then = from *this*

using and with

(have | show) *prop using facts*

using and with

(have | show) *prop* using *facts*
=
from *facts* (have | show) *prop*

using and with

(have | show) *prop* using *facts*
=
from *facts* (have | show) *prop*

with *facts*
=
from *facts* *this*

Structured lemma statement

lemma

fixes f :: "'a \Rightarrow 'a set"

assumes s: "surj f"

shows False

proof -

have " $\exists a. \{x. x \notin f x\} = f a$ "

using s by (auto simp: surj_def)

then show False

by blast

qed

Structured lemma statement

lemma

fixes f :: "'a \Rightarrow 'a set"

assumes s: "surj f"

shows False

proof -

have " $\exists a. \{x. x \notin f x\} = f a$ "

using s by (auto simp: surj_def)

then show False

by blast

qed

Proves $surj\ f \implies False$

but $surj\ f$ becomes local fact s in proof.

Structured lemma statements

fixes $x :: \tau_1$ and $y :: \tau_2 \dots$

assumes $a: P$ and $b: Q \dots$

shows R

Structured lemma statements

fixes $x :: \tau_1$ and $y :: \tau_2 \dots$

assumes $a: P$ and $b: Q \dots$

shows R

- *fixes* and *assumes* sections optional
- *shows* optional if no *fixes* and *assumes*

Case distinction

```
show "R"  
proof cases  
  assume "P"  
  ...  
  show "R" ...  
next  
  assume " $\neg$  P"  
  ...  
  show "R" ...  
qed
```

Case distinction

```
show "R"  
proof cases  
  assume "P"  
  ...  
  show "R" ...  
next  
  assume " $\neg$  P"  
  ...  
  show "R" ...  
qed
```

```
have "P  $\vee$  Q" ...  
then show "R"  
proof  
  assume "P"  
  ...  
  show "R" ...  
next  
  assume "Q"  
  ...  
  show "R" ...  
qed
```

Contradiction

```
show "¬ P"  
proof  
  assume "P"  
  ...  
  show False ...  
qed
```

Contradiction

```
show "¬ P"  
proof  
  assume "P"  
  ...  
  show False ...  
qed
```

```
show "P"  
proof (rule ccontr)  
  assume "¬ P"  
  ...  
  show False ...  
qed
```



```
show "P  $\longleftrightarrow$  Q"  
proof  
  assume "P"  
  ...  
  show "Q" ...  
next  
  assume "Q"  
  ...  
  show "P" ...  
qed
```

\forall and \exists introduction

```
show " $\forall x. P x$ "  
proof  
  fix x  
  show "P x" ...  
qed
```

\forall and \exists introduction

```
show " $\forall x. P x$ "  
proof  
  fix x  
  show " $P x$ " ...  
qed
```

```
show " $\exists x. P x$ "  
proof  
  ...  
  show " $P \text{ witness}$ " ...  
qed
```

Preliminaries



Programming



Datatypes

Recursion

Induction

Coprogramming



Advanced Coprogramming



Natural numbers

```
datatype nat =  
  0  
| Suc nat
```

Natural numbers

```
datatype nat =  
  0  
| Suc nat
```

This introduces:

- The **type** `nat`
- The **constructors** `0 :: nat` and `Suc :: nat ⇒ nat`
- A **case** combinator `case_nat`
- A (primitive) **recursor** constant `rec_nat`
- Various theorems about the above, including an **induction rule**

Numeral notations are also supported:

`3 = Suc (Suc (Suc 0))` is a theorem

Lists

```
datatype 'a list =  
  Nil  
| Cons 'a "'a list"
```

Lists

```
datatype 'a list =  
  Nil  
| Cons 'a "'a list"
```

More honest

```
datatype (set: 'a) list =  
  Nil ("[]")  
| Cons 'a "'a list" (infixr "#" 65)  
for map: map
```

Lists

```
datatype 'a list =  
  Nil  
| Cons 'a "'a list"
```

More honest

```
datatype (set: 'a) list =  
  Nil ("[]")  
| Cons 'a "'a list" (infixr "#" 65)  
for map: map
```

This introduces:

- The type `'a list` and the constructors `Nil` and `Cons`
- A **functorial action**: `map :: ('a ⇒ 'b) ⇒ 'a list ⇒ 'b list`
- A **natural transformation**: `set :: 'a list ⇒ 'a set`
- A **size** function: `size :: 'a list ⇒ nat`
- etc.

List notations

Empty list: $[]$

Cons: $x \# xs$

Enumeration: $[x_1, x_2, \dots, x_n]$

Head: $hd (x \# xs) = x$

Tail: $tl (x \# xs) = xs$ $tl [] = []$

Case: $(\text{case } xs \text{ of } [] \Rightarrow \dots \mid y \# ys \Rightarrow \dots)$

Primitive recursion

Definition using `primrec` or `fun`:

```
primrec append :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list (infixr "@" 65)
where
  [] @ ys = ys
| (x # xs) @ ys = x # (xs @ ys)
```

Primitive recursion

Definition using `primrec` or `fun`:

```
primrec append :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list (infixr "@" 65)
where
  [] @ ys = ys
| (x # xs) @ ys = x # (xs @ ys)
```

Code export:

```
export_code append in Haskell
```

Primitive recursion

Definition using `primrec` or `fun`:

```
primrec append :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list (infixr "@" 65)
where
  [] @ ys = ys
| (x # xs) @ ys = x # (xs @ ys)
```

Code export:

```
export_code append in Haskell
```

Symbolic evaluation in Isabelle:

```
value "[1,2,3] @ [4,5,6] :: int list"
```

Structural induction

We want to prove $xs @ [] = xs$.

Structural induction

We want to prove $xs @ [] = xs$.

Structural induction rule

(thm list.induct)

?P [] \implies

(base case)

$(\bigwedge x xs. ?P xs \implies ?P (x \# xs)) \implies$

(induction step)

?P ?list

Structural induction

We want to prove $xs @ [] = xs$.

Structural induction rule

(thm list.induct)

$?P [] \implies$

(base case)

$(\bigwedge x xs. ?P xs \implies ?P (x \# xs)) \implies$

(induction step)

$?P ?list$

Base case:

$[] @ [] = []$ (by definition of @)

Structural induction

We want to prove $xs @ [] = xs$.

Structural induction rule

(thm list.induct)

$?P [] \implies$

(base case)

$(\bigwedge x xs. ?P xs \implies ?P (x \# xs)) \implies$

(induction step)

$?P ?list$

Base case:

$[] @ [] = []$ (by definition of @)

Induction step:

$(x \# xs) @ [] = x \# (xs @ [])$

(by definition of @)

$= x \# xs$

(by induction hypothesis)



List reversal

$[1, 2, 3, 4] \xrightarrow{\text{rev}} [4, 3, 2, 1]$

List reversal

$[1,2,3,4] \xrightarrow{\text{rev}} [4,3,2,1]$

Naive list reversal

```
primrec rev :: 'a list ⇒ 'a list where
  rev []      = []
| rev (x # xs) = rev xs @ [x]
```

List reversal

$[1,2,3,4] \xrightarrow{\text{rev}} [4,3,2,1]$

Naive list reversal

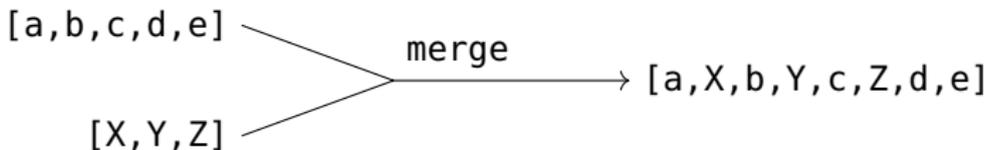
```
primrec rev :: 'a list ⇒ 'a list where
  rev []      = []
| rev (x # xs) = rev xs @ [x]
```

Fast list reversal

```
primrec qrev :: 'a list ⇒ 'a list ⇒ 'a list where
  qrev []      ys = ys
| qrev (x # xs) ys = qrev xs (x # ys)
```

1. What is `rev (rev xs)`?
2. Are `rev` and `qrev` equivalent? What is the relationship?

Well-founded recursion



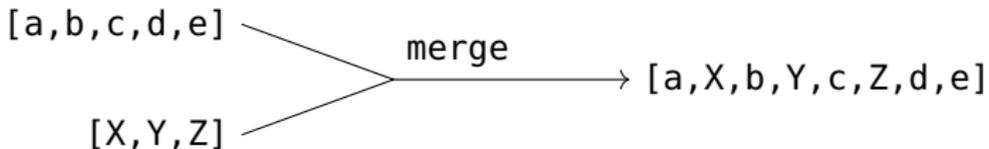
Merge two lists

```
function merge :: 'a list ⇒ 'a list ⇒ 'a list where
  merge []      ys = ys
| merge (x # xs) ys = x # merge ys xs
```

Not primitively recursive! \rightsquigarrow We need a termination proof:

```
termination
proof(relation "measure ( $\lambda(xs, ys). \text{size } xs + \text{size } ys)$ ")
qed simp_all
```

Well-founded recursion



Merge two lists

```
function merge :: 'a list ⇒ 'a list ⇒ 'a list where
  merge []      ys = ys
| merge (x # xs) ys = x # merge ys xs
```

Not primitively recursive! \rightsquigarrow We need a termination proof:

```
termination
proof(relation "measure ( $\lambda(xs, ys). \text{size } xs + \text{size } ys)$ ")
qed simp_all
```

With proof automation:

```
termination by size_change
```

Termination proofs yield induction rules

```
merge [] ys = ys  
merge (x # xs) ys = x # merge ys xs
```

How can we prove $\text{size } (\text{merge } xs \text{ } ys) = \text{size } xs + \text{size } ys$?

Termination proofs yield induction rules

```
merge []      ys = ys
merge (x # xs) ys = x # merge ys xs
```

How can we prove $\text{size } (\text{merge } xs \text{ } ys) = \text{size } xs + \text{size } ys$?

Structural induction on xs does not work!

```
Induction hypothesis: size (merge xs ys) = size xs + size ys
size (merge (x # xs) ys)
= size (x # merge ys xs) = 1 + size (merge ys xs) = ...
```

Termination proofs yield induction rules

```
merge []      ys = ys
merge (x # xs) ys = x # merge ys xs
```

How can we prove $\text{size} (\text{merge } xs \text{ } ys) = \text{size } xs + \text{size } ys$?

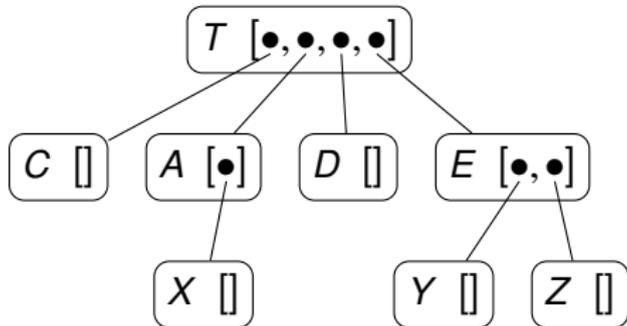
Structural induction on xs does not work!

Induction hypothesis: $\text{size} (\text{merge } xs \text{ } ys) = \text{size } xs + \text{size } ys$
 $\text{size} (\text{merge } (x \# xs) \text{ } ys)$
 $= \text{size } (x \# \text{merge } ys \text{ } xs) = 1 + \text{size} (\text{merge } ys \text{ } xs) = \dots$

Induction rule for merge

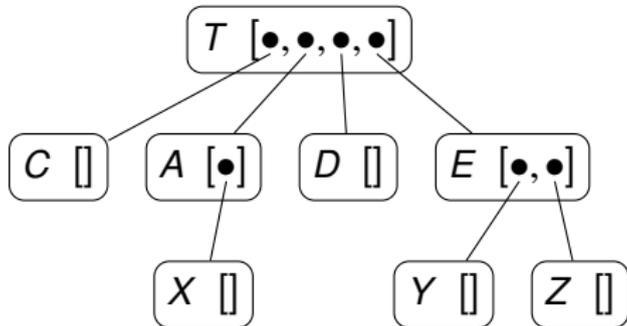
$(\bigwedge ys. ?P [] \text{ } ys) \implies$ (1st equation)
 $(\bigwedge x \text{ } xs \text{ } ys. ?P \text{ } ys \text{ } xs \implies ?P (x \# xs) \text{ } ys) \implies$ (2nd equation)
 $?P \text{ } ?xs \text{ } ?ys$

Finitely-branching Rose trees



```
datatype 'a rtree = Node 'a "'a rtree list"
```

Finitely-branching Rose trees

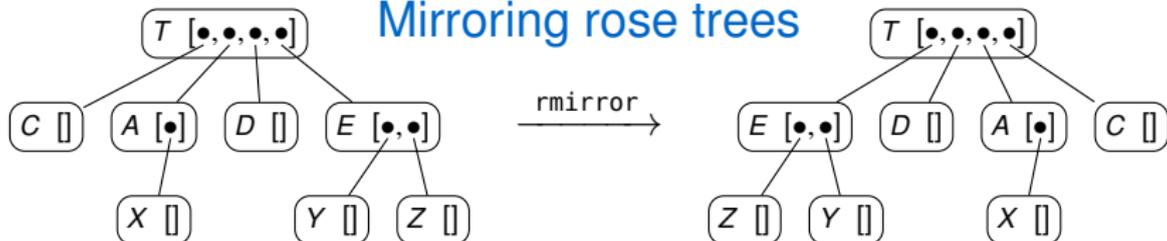


```
datatype 'a rtree = Node 'a "'a rtree list"
```

Structural induction

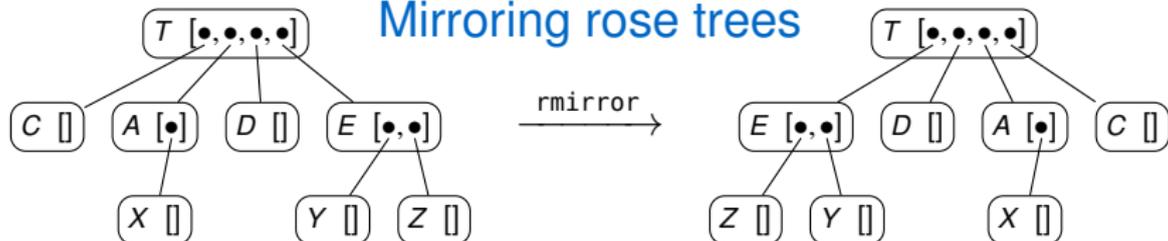
$$(\wedge x \text{ ts. } (\wedge t. t \in \text{set ts} \implies ?P t) \implies ?P (\text{Node } x \text{ ts})) \implies ?P \text{ ?tree}$$

Mirroring rose trees



```
primrec rmirror :: 'a tree  $\Rightarrow$  'a tree where  
  rmirror (Node x ts) = Node x (rev (map rmirror ts))
```

Mirroring rose trees



```
primrec rmirror :: 'a tree  $\Rightarrow$  'a tree where  
  rmirror (Node x ts) = Node x (rev (map rmirror ts))
```

Prove $\text{rmirror} (\text{rmirror } t) = t$ by structural induction

IH: $\text{rmirror} (\text{rmirror } t) = t$ for all $t \in \text{set } ts$

```
rmirror (rmirror (Node x ts))  
= rmirror (Node x (rev (map rmirror ts)))  
= Node x (rev (map rmirror (rev (map rmirror ts))))  
= Node x (rev (rev (map rmirror (map rmirror ts))))  
= Node x (map (rmirror  $\circ$  rmirror) ts) by IH?  
= Node x (map id ts) = Node x ts
```

Conditional term rewriting

Congruence rule for map

$$\begin{aligned} & ?xs = ?ys \implies \\ & (\bigwedge y. y \in \text{set } ?ys \implies ?f\ y = ?g\ y) \implies \\ & \text{map } ?f\ ?xs = \text{map } ?g\ ?ys \end{aligned}$$

Assume: `rmirror (rmirror t) = t` for all `t ∈ set ts`

Show: `map (rmirror ∘ rmirror) ts = map id ts`

`map (rmirror ∘ rmirror) ts = ??`

Conditional term rewriting

Congruence rule for map

$$\begin{aligned} ?xs = ?ys &\implies \\ (\bigwedge y. y \in \text{set } ?ys \implies ?f y = ?g y) &\implies \\ \text{map } ?f ?xs = \text{map } ?g ?ys & \end{aligned}$$

Assume: $\text{rmirror } (\text{rmirror } t) = t$ for all $t \in \text{set } ts$

Show: $\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } \text{id } ts$

$$\frac{ts = ?? \quad \frac{\bigwedge t. t \in \text{set } ?? \implies (\text{rmirror } \circ \text{rmirror}) t = ?? \quad t}{\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } ?? \quad ??}}{\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } \text{id } ts}$$

Conditional term rewriting

Congruence rule for map

$$\begin{aligned} ?xs = ?ys &\implies \\ (\bigwedge y. y \in \text{set } ?ys \implies ?f y = ?g y) &\implies \\ \text{map } ?f ?xs = \text{map } ?g ?ys & \end{aligned}$$

Assume: $\text{rmirror } (\text{rmirror } t) = t$ for all $t \in \text{set } ts$

Show: $\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } \text{id } ts$

$$\frac{ts = ts \quad \frac{\bigwedge t. t \in \text{set } ts \implies (\text{rmirror } \circ \text{rmirror}) t = \text{id } t}{\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } \text{id } ts}}{\text{map } (\text{rmirror } \circ \text{rmirror}) ts = \text{map } \text{id } ts}$$

Conditional term rewriting

Congruence rule for map

$$\begin{aligned} ?xs = ?ys &\implies \\ (\bigwedge y. y \in \text{set } ?ys \implies ?f\ y = ?g\ y) &\implies \\ \text{map } ?f\ ?xs = \text{map } ?g\ ?ys \end{aligned}$$

Assume: `rmirror (rmirror t) = t` for all $t \in \text{set } ts$

Show: `map (rmirror o rmirror) ts = map id ts`

$$\frac{ts = ts \quad \frac{\bigwedge t. t \in \text{set } ts \implies \text{rmirror (rmirror t) = t}}{\text{map (rmirror o rmirror) ts = map id ts}}}{\text{map (rmirror o rmirror) ts = map id ts}}$$

Conditional term rewriting

Congruence rule for map

$?xs = ?ys \implies$

$(\bigwedge y. y \in \text{set } ?ys \implies ?f\ y = ?g\ y) \implies$

$\text{map } ?f\ ?xs = \text{map } ?g\ ?ys$

Assume: $\text{rmirror } (\text{rmirror } t) = t$ for all $t \in \text{set } ts$

Show: $\text{map } (\text{rmirror } \circ \text{rmirror})\ ts = \text{map } \text{id}\ ts$

$$\frac{\text{ts} = \text{ts} \quad \frac{\bigwedge t. t \in \text{set } ts \implies t \in \text{set } ts}{\bigwedge t. t \in \text{set } ts \implies \text{rmirror } (\text{rmirror } t) = (\lambda x. x)\ t}}{\text{map } (\text{rmirror } \circ \text{rmirror})\ ts = \text{map } (\lambda x. x)\ ts}$$

Now it's your turn

1. Download `Programming.thy` from the tutorial webpage and open it in Isabelle/jEdit.
2. Define `concat :: 'a list list \Rightarrow 'a list`
Find out how `concat` behaves w.r.t. `@` and `rev` and prove it.
3. Define pre-order and post-order traversals for rose trees.
Prove that `preorder (rmirror t) = rev (postorder t)`.

Preliminaries



Programming



Coprogramming



Codatatypes

Primitive
Corecursion

Coinduction

Advanced Coprogramming



Types with infinite values

type	finite values	infinite values
nat	0, 1, 2, 3, ...	
enat	0, 1, 2, 3, ...	∞

Types with infinite values

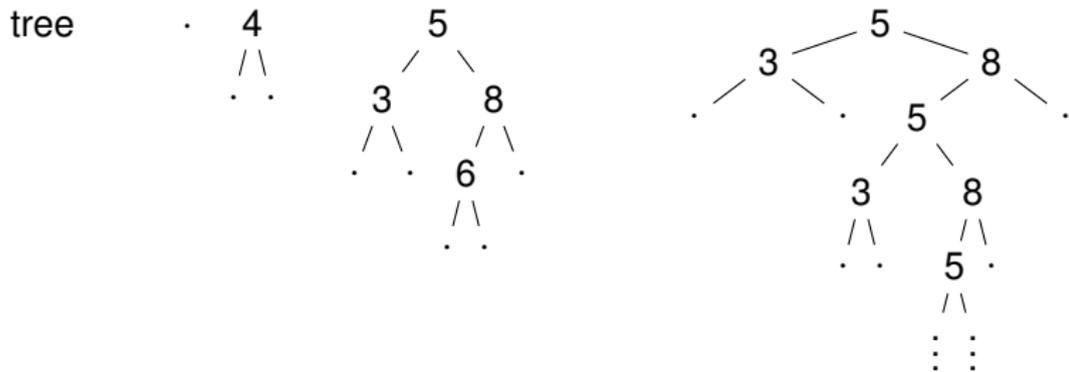
type	finite values	infinite values
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	
nat	$0, 1, 2, 3, \dots$	
enat	$0, 1, 2, 3, \dots$	∞
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	$S(S(S(S(S(\dots))))))$

Types with infinite values

type	finite values	infinite values
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	
nat	$0, 1, 2, 3, \dots$	
enat	$0, 1, 2, 3, \dots$	∞
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	$S(S(S(S(S(\dots))))))$
list	$[], [0], [0,0], [0,1,2,3,4], \dots$	
stream		$[0,0,0,\dots], [1,2,3,\dots], [0,1,0,1,\dots], \dots$
lstream	$[], [0], [0,0], [0,1,2,3,4], \dots$	$[0,0,0,\dots], [1,2,3,\dots], [0,1,0,1,\dots], \dots$

Types with infinite values

type	finite values	infinite values
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	
nat	$0, 1, 2, 3, \dots$	
enat	$0, 1, 2, 3, \dots$	∞
	$0, S(0), S(S(0)), S(S(S(0))), \dots$	$S(S(S(S(S(\dots))))))$
list	$[], [0], [0,0], [0,1,2,3,4], \dots$	
stream		$[0,0,0,\dots], [1,2,3,\dots], [0,1,0,1,\dots], \dots$
lstream	$[], [0], [0,0], [0,1,2,3,4], \dots$	$[0,0,0,\dots], [1,2,3,\dots], [0,1,0,1,\dots], \dots$



Codatatypes in Isabelle/HOL

```
datatype nat = 0 | Suc nat
codatatype enat = Zero | eSuc enat
```

Codatatypes in Isabelle/HOL

```
datatype nat = 0 | Suc nat
codatatype enat = is_zero: Zero | eSuc (epred: enat)
  where "epred Zero = Zero"
```

discriminator

selector

defaults

Codatatypes in Isabelle/HOL

```
datatype nat = 0 | Suc nat
codatatype enat = is_zero: Zero | eSuc (epred: enat)
  where "epred Zero = Zero"
```

discriminator

selector

defaults

```
datatype 'a list = Nil | Cons 'a "'a list"
codatatype 'a stream = SCons 'a "'a stream"
codatatype 'a llist = LNil | LCons 'a "'a llist"
```

Codatatypes in Isabelle/HOL


datatype nat = 0 | Suc nat
codatatype enat = is_zero: Zero | eSuc (epred: enat)
where "epred Zero = Zero"


defaults

```
datatype 'a list = Nil | Cons 'a "'a list"
codatatype 'a stream = SCons 'a "'a stream"
codatatype 'a llist = LNil | LCons 'a "'a llist"
```

```
codatatype 'a tree =
  is_Leaf: Leaf
  | Node (left: 'a tree) (val: 'a) (right: 'a tree)
where "left Leaf = Leaf" | "right Leaf = Leaf"
```

No recursion on codatatypes

```
datatype nat = 0 | Suc nat
codatatype enat = Zero | eSuc enat
```

Suppose we could do recursion on codatatypes ...

```
primrec to_nat :: enat  $\Rightarrow$  nat where
  to_nat Zero      = 0
| to_nat (eSuc n) = Suc (to_nat n)
```

No recursion on codatatypes

```
datatype nat = 0 | Suc nat
codatatype enat = Zero | eSuc enat
```

Suppose we could do recursion on codatatypes ...

```
primrec to_nat :: enat  $\Rightarrow$  nat where
  to_nat Zero      = 0
| to_nat (eSuc n) = Suc (to_nat n)
```

... but codatatypes are not well-founded: $\infty = \text{eSuc } \infty$

```
to_nat  $\infty$  = to_nat (eSuc  $\infty$ ) = Suc (to_nat  $\infty$ )
      n           =           1 + n
```

False

Building infinite values by primitive corecursion

primitive recursion

- datatype as **argument**
- **peel off** one constructor
- recursive call only **on** arguments of the constructor

primitive corecursion

- codatatype as **result**
- **produce** one constructor
- corecursive call only **in** arguments to the constructor

```
codatatype enat = Zero | eSuc enat
```

```
primcorec infty :: enat ("∞") where ∞ = eSuc ∞
```

Building infinite values by primitive corecursion

primitive recursion

- datatype as **argument**
- **peel off** one constructor
- recursive call only **on** arguments of the constructor

primitive corecursion

- codatatype as **result**
- **produce** one constructor
- corecursive call only **in** arguments to the constructor

```
codatatype enat = Zero | eSuc enat
```

```
primcorec infty :: enat ("∞") where ∞ = eSuc ∞
```

Derive destructor characterisation:

```
lemma infty.sel:  
  "is_zero ∞ = False"  
  "epred ∞ = ∞"
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```



corecursive
call

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

guard

corecursive
argument

corecursive
call

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$  ) =
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$  ) = False
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$ ) = False  
epred (  $\infty \oplus 3$ ) =
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$ ) = False  
epred (  $\infty \oplus 3$ ) = epred  $\infty \oplus 3$ 
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:
We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)  
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:  
  "is_zero  $\infty$  = False"  
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$ ) = False  
epred (  $\infty \oplus 3$ ) = epred  $\infty \oplus 3$   
is_zero (epred  $\infty \oplus 3$ ) =
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

```
lemma infty.sel:
  "is_zero  $\infty$  = False"
  "epred  $\infty$  =  $\infty$ "
```

corecursion
stops

guard

corecursive
argument

corecursive
call

Evaluate $\infty \oplus 3$ by observing!

```
is_zero (  $\infty \oplus 3$ ) = False
epred (  $\infty \oplus 3$ ) = epred  $\infty \oplus 3$ 
is_zero (epred  $\infty \oplus 3$ ) = is_zero ( $\infty \oplus 3$ ) = False
epred (epred  $\infty \oplus 3$ ) = ...
```

Computing with infinite values

Computing with codatatypes is pattern matching on results:

We can inspect arbitrary finite amounts of output in finitely many steps.

Addition on enat

```
primcorec eplus :: "enat  $\Rightarrow$  enat  $\Rightarrow$  enat" (infixl " $\oplus$ " 65)
where "m  $\oplus$  n = (if is_zero m then n else eSuc (epred m  $\oplus$  n))"
```

lemma infty.sel:

Conjecture: $\infty \oplus 3 = \infty$

Evaluate $\infty \oplus 3$ by observing!

is_zero ($\infty \oplus 3$) = False

epred ($\infty \oplus 3$) = epred $\infty \oplus 3$

is_zero (epred $\infty \oplus 3$) = is_zero ($\infty \oplus 3$) = False

epred (epred $\infty \oplus 3$) = ...

When are two extended naturals equals?

If we can make the same observations!

$$2 \quad =? \quad 2 \oplus 0$$

When are two extended naturals equals?

If we can make the same observations!

$$2 \quad =? \quad 2 \oplus 0$$

$$\text{is-zero } 2 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 2 = 1 \quad =? \quad \text{epred } (2 \oplus 0) = \text{epred } 2 \oplus 0 = 1 \oplus 0$$

When are two extended naturals equals?

If we can make the same observations!

$$2 \quad =? \quad 2 \oplus 0$$

$$\text{is-zero } 2 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 2 = 1 \quad =? \quad \text{epred } (2 \oplus 0) = \text{epred } 2 \oplus 0 = 1 \oplus 0$$

$$\text{is-zero } 1 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 1 = 0 \quad =? \quad \text{epred } (1 \oplus 0) = \text{epred } 1 \oplus 0 = 0 \oplus 0$$

When are two extended naturals equals?

If we can make the same observations!

$$2 \quad =? \quad 2 \oplus 0$$

$$\text{is-zero } 2 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 2 = 1 \quad =? \quad \text{epred } (2 \oplus 0) = \text{epred } 2 \oplus 0 = 1 \oplus 0$$

$$\text{is-zero } 1 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 1 = 0 \quad =? \quad \text{epred } (1 \oplus 0) = \text{epred } 1 \oplus 0 = 0 \oplus 0$$

$$\text{is-zero } 0 = \text{True} \quad = \quad \text{is-zero } (0 \oplus 0) = \text{True}$$

When are two extended naturals equals?

If we can make the same observations!

$$2 \quad =? \quad 2 \oplus 0$$

$$\text{is-zero } 2 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 2 = 1 \quad =? \quad \text{epred } (2 \oplus 0) = \text{epred } 2 \oplus 0 = 1 \oplus 0$$

$$\text{is-zero } 1 = \text{False} \quad = \quad \text{is-zero } (1 \oplus 0) = \text{False}$$

$$\text{epred } 1 = 0 \quad =? \quad \text{epred } (1 \oplus 0) = \text{epred } 1 \oplus 0 = 0 \oplus 0$$

$$\text{is-zero } 0 = \text{True} \quad = \quad \text{is-zero } (0 \oplus 0) = \text{True}$$

Coinduction rule for equality

$$\frac{R \ n \ m \quad \forall n \ m. R \ n \ m \longrightarrow \text{is-zero } n = \text{is-zero } m \wedge (\neg \text{is-zero } m \longrightarrow R \ (\text{epred } n) \ (\text{epred } m))}{n = m}$$

When are two extended naturals equals?

If we can make the same observations!

2	R	$2 \oplus 0$
is-zero 2 = False	=	is-zero (1 \oplus 0) = False
epred 2 = 1	R	epred (2 \oplus 0) = epred 2 \oplus 0 = 1 \oplus 0
is-zero 1 = False	=	is-zero (1 \oplus 0) = False
epred 1 = 0	R	epred (1 \oplus 0) = epred 1 \oplus 0 = 0 \oplus 0
is-zero 0 = True	=	is-zero (0 \oplus 0) = True

Coinduction rule for equality

$$\frac{R \ n \ m \quad \forall n \ m. R \ n \ m \longrightarrow \text{is-zero } n = \text{is-zero } m \wedge (\neg \text{is-zero } m \longrightarrow R \ (\text{epred } n) \ (\text{epred } m))}{n = m}$$

Prove that $\infty \oplus x = \infty$

Coinduction rule for equality

$$\frac{R \ n \ m \quad \forall n \ m. R \ n \ m \longrightarrow \text{is-zero } n = \text{is-zero } m \wedge (\neg \text{is-zero } m \longrightarrow R \ (\text{epred } n) \ (\text{epred } m))}{n = m}$$

1. Define $R \ n \ m \iff n = \infty \oplus x \wedge m = \infty$
2. Show that $R \ (\infty \oplus x) \ \infty$.
3. Show bisimulation property of R :
 - Assume $R \ n \ m$ for arbitrary n, m .
So $n = \infty \oplus x$ and $m = \infty$.
 - $\text{is-zero } n = \text{is-zero } (\infty \oplus x) = \text{False} = \text{is-zero } \infty$
 - Show $R \ (\text{epred } n) \ (\text{epred } m)$:
 - $\text{epred } n = \text{epred } (\infty \oplus x) = \text{epred } \infty \oplus x$
 - $\text{epred } m = \text{epred } \infty = \infty$

Isabelle demo

From lazy lists to trees and back

```
codatatype 'a llist =  
  lnull: LNil  
  | LCons (lhd: 'a)  
          (ltl: 'a llist)
```

$\xrightarrow{\text{tree_of}}$

$\xleftarrow{\text{llist_of}}$

```
codatatype 'a tree =  
  is_Leaf: Leaf  
  | Node (left: 'a tree)  
         (val: 'a)  
         (right: 'a tree)
```

$[0, 1, 2, \dots]$

$\xrightarrow{\text{tree_of}}$

From lazy lists to trees and back

```
codatatype 'a llist =  
  lnull: LNil  
  | LCons (lhd: 'a)  
          (ltl: 'a llist)
```

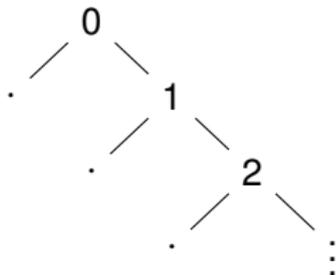
$\xrightarrow{\text{tree_of}}$

```
codatatype 'a tree =  
  is_Leaf: Leaf  
  | Node (left: 'a tree)  
         (val: 'a)  
         (right: 'a tree)
```

$\xleftarrow{\text{llist_of}}$

$[0, 1, 2, \dots]$

$\xrightarrow{\text{tree_of}}$



From lazy lists to trees and back

```
codatatype 'a llist =  
  lnull: LNil  
  | LCons (lhd: 'a)  
          (ltl: 'a llist)
```

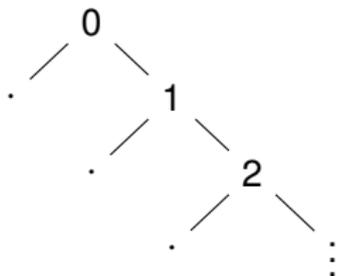
$\xrightarrow{\text{tree_of}}$

```
codatatype 'a tree =  
  is_Leaf: Leaf  
  | Node (left: 'a tree)  
         (val: 'a)  
         (right: 'a tree)
```

$\xleftarrow{\text{llist_of}}$

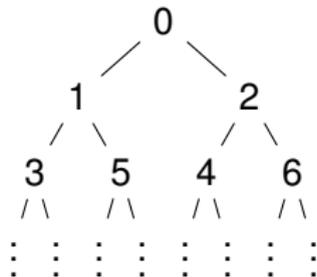
$[0, 1, 2, \dots]$

$\xrightarrow{\text{tree_of}}$



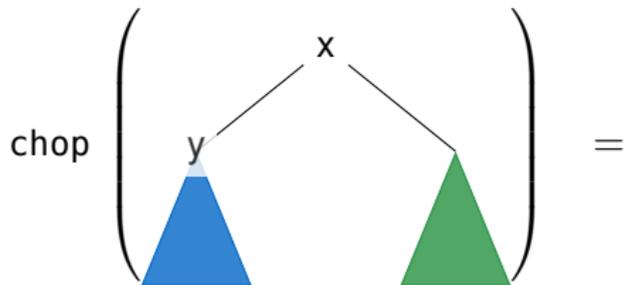
$[0, 1, 2, 3, 4, 5, 6, \dots]$

$\xleftarrow{\text{llist_of}}$



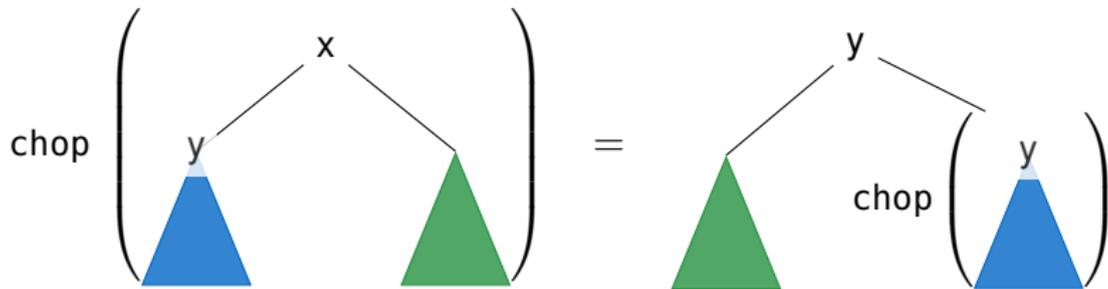
Tree chopping

Remove the root of a tree:



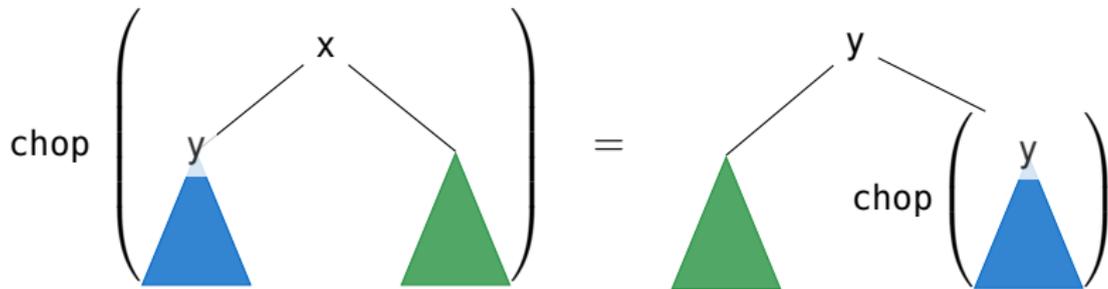
Tree chopping

Remove the root of a tree:



Tree chopping

Remove the root of a tree:



What if there is a Leaf?

Preliminaries



Programming



Coprogramming



Advanced Coprogramming



Corecursion
Up To Friends

Coinduction
Up To Friends

Mixed
Recursion-
Corecursion

Am I productive?

S = 0 ## S

S = 0 ## S

primitive corecursion



```
s = 0 ## stl s
```

```
s = 0 ## stl s
```

```
stl evil
```



S = 0 ## 1 ## S

$s = 0 \ \#\# \ 1 \ \#\# \ s$

corecursion up to constructors



eo s = shd s ## eo (stl (stl s))

```
eo s = shd s ## eo (stl (stl s))
```

primitive corecursion



s = 0 ## 1 ## eo s

s = 0 ## 1 ## eo s



eo evil

$$s \oplus t = (\text{shd } s + \text{shd } t) \# \# (\text{stl } s \oplus \text{stl } t)$$

$$s \oplus t = (\text{shd } s + \text{shd } t) \#\# (\text{stl } s \oplus \text{stl } t)$$

primitive corecursion



$$s \otimes t = (\text{shd } s * \text{shd } t) \#\# (\text{stl } s \otimes t \oplus s \otimes \text{stl } t)$$

$$s \otimes t = (\text{shd } s * \text{shd } t) \# (\text{stl } s \otimes t \oplus s \otimes \text{stl } t)$$

corecursion up to \oplus



$$(\sigma \otimes \tau)(n) = \sum_{k=0}^n \binom{n}{k} \times \sigma(n-k) \times \tau(k)$$

The standard definition

$$s = 0 \quad \# \# \quad ((1 \quad \# \# \quad s) \oplus s)$$

$$s = 0 \ \#\# \ ((1 \ \#\# \ s) \oplus s)$$

corecursion up-to constructors and \oplus



$$s = (0 \ \#\# \ 1 \ \#\# \ s) \oplus (0 \ \#\# \ s)$$

$$s = (0 \ \#\# \ 1 \ \#\# \ s) \oplus (0 \ \#\# \ s)$$

corecursion up to constructors and \oplus
 \oplus comes before the guard



$$s = (1 \ \#\# \ s) \otimes (1 \ \#\# \ s)$$

$$s = (1 \ \#\# \ s) \otimes (1 \ \#\# \ s)$$

corecursion up to constructors and \otimes
 \otimes comes before the guard



pow2 t = (2 ^ shd t) ## (stl s ⊗ pow2 t)

`pow2 t = (2 ^ shd t) ## (stl s ⊗ pow2 t)`

corecursion up to \otimes



`s = pow2 (0 ## s)`

`s = pow2 (0 ## s)`



corecursion up to constructors and pow2
pow2 comes before the guard

selfie s = shd s ## selfie (selfie (stl s) \oplus selfie s)

```
selfie s = shd s ## selfie (selfie (stl s) ⊕ selfie s)
```

corecursion up to \oplus and selfie [sic!]



```
s m n = if (m == 0 && n > 1) || gcd m n == 1
        then n ## s (m * n) (n + 1)
        else s m (n + 1)
```

```
s m n = if (m == 0 && n > 1) || gcd m n == 1
        then n ## s (m * n) (n + 1)
        else s m (n + 1)
```



mixed recursion/primitive corecursion

```
s n = if n > 0
      then s (n - 1) ⊕ (0 ## s (n + 1))
      else 1 ## s 1
```

```
s n = if n > 0
      then s (n - 1) ⊕ (0 ## s (n + 1))
      else 1 ## s 1
```



mixed recursion/corecursion up to \oplus

```
s n = if n > 0
      then stl (s (n - 1))  $\oplus$  (0 ## s (n + 1))
      else 1 ## s 1
```

```
s n = if n > 0
      then stl (s (n - 1))  $\oplus$  (0 ## s (n + 1))
      else 1 ## s 1
```

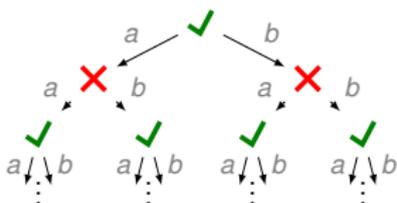


stl really evil

Isabelle demo

Exercises

Languages as Infinite Tries

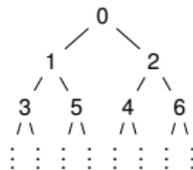


Growing a Tree



$[0, 1, 2, 3, 4, 5, 6, \dots]$

$\xleftarrow{\text{l1ist_of}}$



Factorial via Shuffle



Prove that $s = 1 \ \#\# \ (s \ \otimes \ s)$ defines the stream of factorials.

A glimpse



**BEHIND
THE SCENES**

with

